CALIFORNIA ENERGY COMMISSION

1516 NINTH STREET SACRAMENTO, CA 95814-5512 www.energy.ca.gov



PHASE-1 RESULTS

Advance Breakthrough and Piezoelectric-Based Systems Development to Increase Market Penetration of Distributed Renewable Generation EPIC GFO-16-302 October 6, 2016

On July 27, 2016, the California Energy Commission (Energy Commission) released a 2-phase competitive solicitation to fund applied research and development activities to advance breakthrough energy generation technologies and piezoelectric-based systems to dramatically increase efficiencies, reduce costs and enable generation from additional renewable resources. Up to \$7 million in Electric Program Investment Charge (EPIC) funding is available to fund projects in:

- Group 1: Advance Breakthroughs in Distributed Renewable Energy Technologies
- Group 2: Development of Piezoelectric-Based Systems

The Energy Commission received twenty abstracts by the due date of September 9, 2016. Each abstract was screened on a pass/fail basis using the criteria in the solicitation. Ten proposals passed the Screening process.

The attached table, titled "Phase-1 Results", includes information such as the requested funding amount by applicant and identifies the applicants selected for invitation to participate in Phase 2, involving submission of a complete formal application.

Funding of proposed projects resulting from this solicitation is contingent upon the proposed projects being selected for award during Phase 2 and approval of selected projects at a publicly noticed Energy Commission Business Meeting and execution of a grant agreement. If the Energy Commission is unable to timely negotiate and execute a funding agreement with an applicant, the Energy Commission, at its sole discretion, reserves the right to cancel or otherwise modify the pending award, and award the funds to another applicant.

In addition, the Energy Commission reserves the right to: 1) add to, remove, or shift funding to make additional awards and 2) negotiate with successful applicants to modify the project scope, schedule, and/or level of funding.

This notice is being mailed to all parties who submitted an abstract to this solicitation and is also posted on the Energy Commission's website at: www.energy.ca.gov/contracts/.

For information, please contact Diana Parmley at (916) 651-9409 or diana.parmley@energy.ca.gov.

Diana Parmley
Commission Agreement Officer



GFO-16-302

Advance Breakthrough and Piezoelectric-Based Systems Development to Increase Market Penetration of Distributed Renewable Generation

Phase-1 Results

Group 1: Advance Breakthroughs in Distributed Renewable Energy Technologies

October 6, 2016

| Project Number | Project Applicant | Title | Energy Commission Funds Requested | Match Funds | Abstract Screening Status | | |
|----------------|--|---|--------------------------------------|-------------|---------------------------------|--|--|
| PASSED | PASSED | | | | | | |
| 1 | Altex Technologies Corporation | Altex Advanced Thermo Electric Generator System (AATEGS) | \$1,300,000 | \$130,000 | Passed | | |
| 2 | Lawrence Berkeley National Laboratory | Low-Cost High-Reliability Thermoelectrics for Waste Heat Conversion | \$2,000,000 | \$3,500,000 | Passed | | |
| 3 | The Regents of the University of California, San Diego | Scaling Reliable, Next-Generation Perovskite Solar Cell Modules | \$1,450,000 | \$145,967 | Passed | | |
| 4 | The Regents of the University of California, San Diego | Optical Printing of High-Efficiency Piezoelectric Polymer Composites for Distributed Renewable Energy Technologies | \$450,000 | \$0 | Passed | | |
| 7 | AltaRock Energy, Inc. | Thermoelectric Generator Application and Pilot Test in a Geothermal Field | \$1,280,000 | \$120,000 | Passed | | |
| 12 | PowerVerde Inc. | Increasing Performance and Economics of Distributed Power Generation Using an Advanced High Temperature Wet Steam Cycle | \$1,000,000 | \$500,000 | Passed | | |
| | | | | | | | |
| | | Total | \$7,480,000 | \$4,395,967 | | | |



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Phase-1 Results

Group 1: Advance Breakthroughs in Distributed Renewable Energy Technologies

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| The Regents of the University of California, Berkeley Reliable Distributed Energy Networks: Nanogeneration, Big Sensing and Big Data for Renewables Integration The Regents of the University of California, Irvine SLAC National Accelerator Laboratory Pyro-E LLC Pyro-E LLC Sierra Energy The Regents of the University of California, San Diego The Regents of the University of California, San Diego To California, San Diego Reliable Distributed Energy Networks: Nanogeneration, Big Sensing and Big Data for Renewables Integration \$2,000,000 \$1,000 \$0 Did Not Pass \$1,075,000 \$0 Did Not Pass \$2,000,000 \$200,000 Did Not Pass \$2,000,000 \$200,000 Did Not Pass \$4,000,000 \$200,000 Did Not Pass \$4,000,000 Sensing Energy Sensitors \$4,000,000 Sensing Energy Sensitors \$4,000,000 Sensitors \$4,000,000 Did Not Pass Sensitors Energy Sensitors \$4,000,000 Sensitors \$4,000,000 Sensitors \$4,000,000 Did Not Pass Sensitors Energy Sensitors \$4,000,000 Sensitors \$4,000,000 Sensitors \$4,000,000 Sensitors \$4,000,000 Did Not Pass Sensitors Energy Sensitors \$4,000,000 Sensitors \$4,000,000 Sensitors \$4,000,000 Sensitors \$4,000,000 Sensitors \$4,000,000 Sensitors Sensitors Energy Sensitors S | Project Number | Project Applicant | Title | Energy Commission Funds Requested | Match Funds | Abstract Screening Status |
|---|----------------|--------------------------------|--|--------------------------------------|-------------|---------------------------------|
| Taylor Energy Compression-Ignition \$1,999,000 \$0 Did Not Pass The Regents of the University of California, Berkeley Big Sensing and Big Data for Renewables Integration \$2,000,000 \$0 Did Not Pass The Regents of the University of California, Irvine The Regents of the University of California, Irvine SLAC National Accelerator Laboratory Photovoltaics Pyro-E LLC Energy Harvesting of Low-Cost Lightweight Photovoltaics Pyro-E LLC Energy Harvesting \$2,000,000 \$200,000 Did Not Pass SIAC National Accelerator Laboratory Photovoltaics Signature Photovoltaics Sig | DID NOT PASS | | | | | |
| California, Berkeley Big Sensing and Big Data for Renewables Integration The Regents of the University of California, Irvine SLAC National Accelerator Laboratory Ultrathin Piezoelectric Energy Harvester for Mechanical Energy Harvesting Pyro-E LLC Ultrathin Piezoelectric Energy Harvester for Mechanical Energy Harvesting Component Breakthroughs to Sierra Energy's FastOx® Waste Gasification of Distributed Renewable Energy The Regents of the University of California, San Diego Uclean Green Emission Free, LLC Warang USA Did Not Pass The Remain Accelerator Laboratory Did Not Pass Solid Renewable Fuel from Excess Renewable Sources and Salt Sources The Remain Accelerator Photovoltaics The Regents of the University of California, San Diego Solid Renewable Fuel from Excess Renewable Sources and Salt Sources Warang USA Did Not Pass \$2,000,000 \$200,000 Did Not Pass | 5 | | Compression-Ignition | \$1,999,000 | \$0 | Did Not Pass |
| The Regents of the University of California, Irvine SLAC National Accelerator Laboratory Pyro-E LLC Sierra Energy The Regents of the University of California, San Diego California, San Diego The Regents of the University of California, San Diego The Regents of the University of California, San Diego The Regents of the University of California, San Disago Warang USA The Regents of the University of California Sources SLAC National Accelerator ROLL-PV: Rapid Optimization of Low-Cost Lightweight Photovoltaics ROLL-PV: Rapid Optimization of Low-Cost Lightweight Photovoltaics Scounce Sundament Standard Scounces Scounce Standard Sco | 6 | , , | 1 | \$2,000,000 | \$0 | Did Not Pass |
| 9 Laboratory Photovoltaics \$2,000,000 \$200,000 Did Not Pass Ultrathin Piezoelectric Energy Harvester for Mechanical Energy Harvesting \$600,000 \$80,000 Did Not Pass Component Breakthroughs to Sierra Energy's FastOx® Waste Gasification System to Increase Market Penetration of Distributed Renewable Energy \$2,000,000 \$0 Did Not Pass The Regents of the University of California, San Diego Circuits \$500,000 \$129,652 Did Not Pass 14 Clean Green Emission Free, LLC Green-Clean Hydroelectric Generation \$840,000 \$172,601 Did Not Pass Solid Renewable Fuel from Excess Renewable Sources and Salt Sources \$1,770,000 \$0 Did Not Pass | 8 | , , | using Phase-Controlled Electrodeposited Materials and | \$1,075,000 | \$0 | Did Not Pass |
| 10 Pyro-E LLC Energy Harvesting \$600,000 \$80,000 Did Not Pass Component Breakthroughs to Sierra Energy's FastOx® Waste Gasification System to Increase Market 11 Sierra Energy Penetration of Distributed Renewable Energy \$2,000,000 \$0 Did Not Pass Harvesting Body Motion Energies by Wearable The Regents of the University of California, San Diego Circuits \$500,000 \$129,652 Did Not Pass 14 Clean Green Emission Free, LLC Green-Clean Hydroelectric Generation \$840,000 \$172,601 Did Not Pass Solid Renewable Fuel from Excess Renewable Sources and Salt Sources \$1,770,000 \$0 Did Not Pass | 9 | | | \$2,000,000 | \$200,000 | Did Not Pass |
| Waste Gasification System to Increase Market Penetration of Distributed Renewable Energy Harvesting Body Motion Energies by Wearable Triboelectric Nanogenerators and Power Management Circuits Clean Green Emission Free, LLC Green-Clean Hydroelectric Generation Solid Renewable Fuel from Excess Renewable Sources and Salt Sources Waste Gasification System to Increase Market Penetration of Distributed Renewable Energy \$2,000,000 \$0 Did Not Pass \$500,000 \$129,652 Did Not Pass Solid Renewable Fuel from Excess Renewable Sources and Salt Sources \$1,770,000 \$0 Did Not Pass | 10 | Pyro-E LLC | Energy Harvesting | I I | \$80,000 | Did Not Pass |
| The Regents of the University of California, San Diego Triboelectric Nanogenerators and Power Management Circuits Solid Renewable Fuel from Excess Renewable Sources and Salt Sources Solid Not Pass Solid Renewable Fuel from Excess Renewable Sources and Salt Sources \$1,770,000 \$129,652 Did Not Pass \$1,770,000 \$0 Did Not Pass | 11 | Sierra Energy | Waste Gasification System to Increase Market Penetration of Distributed Renewable Energy | \$2,000,000 | \$0 | Did Not Pass |
| Solid Renewable Fuel from Excess Renewable Sources and Salt Sources and Salt Sources \$1,770,000 \$0 Did Not Pass | 13 | | Triboelectric Nanogenerators and Power Management | \$500,000 | \$129,652 | Did Not Pass |
| 15 Warang USA and Salt Sources \$1,770,000 \$0 Did Not Pass | 14 | Clean Green Emission Free, LLC | Green-Clean Hydroelectric Generation | \$840,000 | \$172,601 | Did Not Pass |
| Total \$12,784,000 \$582,253 | 15 | Warang USA | | \$1,770,000 | \$0 | Did Not Pass |
| | | | Total | \$12,784,000 | \$582,253 | |



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Advance Breakthrough and Piezoelectric-Based Systems Development to Increase Market Penetration of Distributed Renewable Generation

Phase-1 Results

Group 1: Advance Breakthroughs in Distributed Renewable Energy Technologies

October 6, 2016

| Project Number | Project Applicant | Title | Energy Commission Funds Requested | Match Funds | Abstract Screening Status |
|----------------|-------------------|-------|--------------------------------------|-------------|---------------------------------|
| Grand Total | | | \$20,264,000 | \$4,978,220 | |



GFO-16-302

Advance Breakthrough and Piezoelectric-Based Systems Development to Increase Market Penetration of Distributed Renewable Generation

Phase-1 Results

Group 2: Development of Piezoelectric-Based Systems

October 6, 2016

| Project Number | Project Applicant | Title | Energy Commission Funds Requested | Match Funds | Abstract Screening Status | | |
|----------------|---|---|--------------------------------------|-------------|---------------------------------|--|--|
| PASSED | PASSED | | | | | | |
| 1 | The Regents of the University of California, Merced | Ultra-High Power Density Roadway Piezoelectric Energy Harvesting System | \$2,000,000 | \$0 | Passed | | |
| 2 | Pyro-E LLC | Force Multiplier Actuated Piezoelectric Energy Harvester for Roadway Energy Recovery | \$1,000,000 | \$100,000 | Passed | | |
| 3 | University of Southern California | Mechanical Vibration Sensing and Electricity Generation (MeVSEG) by Advanced Piezoelectric Energy Harvesting Technologies | \$1,200,000 | \$110,000 | Passed | | |
| 4 | TRS Technologies | Efficient Piezoelectric Single Crystal Harvester for Energy Generation from Renewable Sources | \$1,900,000 | \$10,000 | Passed | | |
| | | Total | \$6,100,000 | \$220,000 | | | |
| DID NOT PASS | | | | | | | |
| 5 | Neodynetics Corporation | Piezoelectric Power Surface | \$1,000,000 | \$0 | Did Not Pass | | |
| | | Total | \$1,000,000 | \$0 | | | |
| Grand Total | | | \$7,100,000 | \$220,000 | | | |